

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

Attorney Docket No.	13227.101C1 (.202)
First Inventor	Steven L. Holcombe
Title	Common Point Authoring System for Tracking and Authenticating Objects in a distribution Chain
Express Mail Label No	EL 977170513US

U.S.PTO  
10/684045  
P2264

<b>APPLICATION ELEMENTS</b>		<b>ADDRESS TO:</b>
See MPEP chapter 600 concerning utility patent application contents.		Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria VA 22313-1450
1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) <i>(Submit an original and a duplicate for fee processing)</i>		7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
2. <input type="checkbox"/> Applicant claims small entity status. <i>See 37 CFR 1.27.</i>		8. Nucleotide and/or Amino Acid Sequence Submission <i>(if applicable, all necessary)</i>
3. <input checked="" type="checkbox"/> Specification [Total Pages 48]		a. <input type="checkbox"/> Computer Readable Form (CRF) b. Specification Sequence Listing on: i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> Paper c. <input type="checkbox"/> Statements verifying identity of above copies
		<b>ACCOMPANYING APPLICATIONS PARTS</b>
4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C.113) [Total Sheets 20]		9. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s))
5. Oath or Declaration [Total Sheets 3]		10. <input type="checkbox"/> 37 C.F.R. 3.73(b) Statement <input checked="" type="checkbox"/> Power of Attorney <i>(when there is an assignee)</i>
a. <input checked="" type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63 (d)) <i>(for a continuation/divisional with Box 18 completed)</i>		11. <input type="checkbox"/> English Translation Document <i>(if applicable)</i>
i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b> <i>Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</i>		12. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations
6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76		13. <input type="checkbox"/> Preliminary Amendment
		14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <i>(Should be specifically itemized)</i>
		15. <input type="checkbox"/> Certified Copy of Priority Document(s) <i>(if foreign priority is claimed)</i>
		16. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122 <i>(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.</i>
		17. <input checked="" type="checkbox"/> Other: Certificate of Express Mailing

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation     Divisional     Continuation-in-part (CIP)

of prior application No: 09/ 934,951

Art Unit: 2177

Prior application information: Examiner Sriram Channavajjala

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**19. CORRESPONDENCE ADDRESS**

<input checked="" type="checkbox"/> Customer Number	24283		OR <input type="checkbox"/> Correspondence address below
Name			
Address			
City	State	Zip Code	
Country	Telephone	Fax	
Name (Print/Type)	James M. Graziano	Registration No. (Attorney/Agent)	28,300
Signature	<i>James M. Graziano</i>		Date October 9, 2003

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

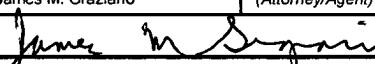
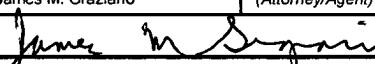
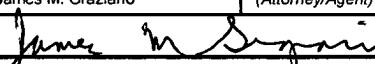
# FEE TRANSMITTAL for FY 2003

*Effective 01/01/2003. Patent fees are subject to annual revision.*

Applicant claims small entity status. See 37 CFR 1.27

**TOTAL AMOUNT OF PAYMENT** (\$ ) 990.00

<i>Complete if Known</i>	
Application Number	Not Yet Accorded
Filing Date	Not Yet Accorded
First Named Inventor	Steven L. Holcombe
Examiner Name	Not Yet Accorded
Art Unit	Not Yet Accorded
Attorney Docket No.	13227.101C1 (.202)

METHOD OF PAYMENT (check all that apply)				FEE CALCULATION (continued)																																																																																																																																																																																																																												
<input type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money <input type="checkbox"/> Other <input type="checkbox"/> None <input checked="" type="checkbox"/> Deposit Account: Deposit Account Number      50-1848 Deposit Account Name      Patton Boggs LLP				<b>3. ADDITIONAL FEES</b> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 15%;">Large Entity</th> <th style="width: 15%;">Small Entity</th> <th colspan="3"></th> </tr> <tr> <th>Fee Code</th> <th>Fee (\$)</th> <th>Fee Code</th> <th>Fee (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>1051</td><td>130</td><td>2051</td><td>65</td><td>Surcharge - late filing fee or oath</td><td></td></tr> <tr><td>1052</td><td>50</td><td>2052</td><td>25</td><td>Surcharge - late provisional filing fee or cover sheet</td><td></td></tr> <tr><td>1053</td><td>130</td><td>1053</td><td>130</td><td>Non-English specification</td><td></td></tr> <tr><td>1812</td><td>2,520</td><td>1812</td><td>2,520</td><td>For filing a request for reexamination</td><td></td></tr> <tr><td>1804</td><td>920*</td><td>1804</td><td>920*</td><td>Requesting publication of SIR prior to Examiner action</td><td></td></tr> <tr><td>1805</td><td>1,840*</td><td>1805</td><td>1,840*</td><td>Requesting publication of SIR after Examiner action</td><td></td></tr> <tr><td>1251</td><td>110</td><td>2251</td><td>55</td><td>Extension for reply within first month</td><td></td></tr> <tr><td>1252</td><td>410</td><td>2252</td><td>205</td><td>Extension for reply within second month</td><td></td></tr> <tr><td>1253</td><td>930</td><td>2253</td><td>465</td><td>Extension for reply within third month</td><td></td></tr> <tr><td>1254</td><td>1,450</td><td>2254</td><td>725</td><td>Extension for reply within fourth month</td><td></td></tr> <tr><td>1255</td><td>1,970</td><td>2255</td><td>985</td><td>Extension for reply within fifth month</td><td></td></tr> <tr><td>1401</td><td>320</td><td>2401</td><td>160</td><td>Notice of Appeal</td><td></td></tr> <tr><td>1402</td><td>320</td><td>2402</td><td>160</td><td>Filing a brief in support of an appeal</td><td></td></tr> <tr><td>1403</td><td>280</td><td>2403</td><td>140</td><td>Request for oral hearing</td><td></td></tr> <tr><td>1451</td><td>1,510</td><td>1451</td><td>1,510</td><td>Petition to institute a public use proceeding</td><td></td></tr> <tr><td>1452</td><td>110</td><td>2452</td><td>55</td><td>Petition to revive – unavoidable</td><td></td></tr> <tr><td>1453</td><td>1,300</td><td>2453</td><td>650</td><td>Petition to revive – unintentional</td><td></td></tr> <tr><td>1501</td><td>1,300</td><td>2501</td><td>650</td><td>Utility issue fee (or reissue)</td><td></td></tr> <tr><td>1502</td><td>470</td><td>2502</td><td>235</td><td>Design issue fee</td><td></td></tr> <tr><td>1503</td><td>630</td><td>2503</td><td>315</td><td>Plant issue fee</td><td></td></tr> <tr><td>1460</td><td>130</td><td>1460</td><td>130</td><td>Petitions to the Commissioner</td><td></td></tr> <tr><td>1807</td><td>50</td><td>1807</td><td>50</td><td>Processing fee under 37 CFR 1.17 (q)</td><td></td></tr> <tr><td>1806</td><td>180</td><td>1806</td><td>180</td><td>Submission of Information Disclosure Stmt</td><td></td></tr> <tr><td>8021</td><td>40</td><td>8021</td><td>40</td><td>Recording each patent assignment per property (times number of properties)</td><td>40</td></tr> <tr><td>1809</td><td>750</td><td>2809</td><td>375</td><td>Filing a submission after final rejection (37 CFR § 1.129(a))</td><td></td></tr> <tr><td>1810</td><td>750</td><td>2810</td><td>375</td><td>For each additional invention to be examined (37 CFR § 1.129(b))</td><td></td></tr> <tr><td>1801</td><td>750</td><td>2801</td><td>375</td><td>Request for Continued Examination (RCE)</td><td></td></tr> <tr><td>1802</td><td>900</td><td>1802</td><td>900</td><td>Request for expedited examination of a design application</td><td></td></tr> <tr><td colspan="6" style="text-align: center; padding: 5px;">Other fee (specify) _____</td></tr> <tr> <td colspan="4" style="text-align: center; padding: 5px;">SUBTOTAL (1) (\$ ) 770</td> <td colspan="4" style="text-align: center; padding: 5px;">*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$ ) 40</td> </tr> <tr> <td colspan="6" style="text-align: center; padding: 5px;">SUBMITTED BY</td> <td colspan="2" style="text-align: center; padding: 5px;">Complete (if applicable)</td> </tr> <tr> <td>Name (Print/Type)</td> <td>James M. Graziano</td> <td>Registration No. (Attorney/Agent)</td> <td>28,300</td> <td>Telephone</td> <td>303-894-6113</td> <td colspan="2"></td> </tr> <tr> <td>Signature</td> <td colspan="3"></td> <td>Date</td> <td>October 9, 2003</td> <td colspan="2"></td> </tr> </tbody> </table>				Large Entity	Small Entity				Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid	1051	130	2051	65	Surcharge - late filing fee or oath		1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet		1053	130	1053	130	Non-English specification		1812	2,520	1812	2,520	For filing a request for reexamination		1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action		1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action		1251	110	2251	55	Extension for reply within first month		1252	410	2252	205	Extension for reply within second month		1253	930	2253	465	Extension for reply within third month		1254	1,450	2254	725	Extension for reply within fourth month		1255	1,970	2255	985	Extension for reply within fifth month		1401	320	2401	160	Notice of Appeal		1402	320	2402	160	Filing a brief in support of an appeal		1403	280	2403	140	Request for oral hearing		1451	1,510	1451	1,510	Petition to institute a public use proceeding		1452	110	2452	55	Petition to revive – unavoidable		1453	1,300	2453	650	Petition to revive – unintentional		1501	1,300	2501	650	Utility issue fee (or reissue)		1502	470	2502	235	Design issue fee		1503	630	2503	315	Plant issue fee		1460	130	1460	130	Petitions to the Commissioner		1807	50	1807	50	Processing fee under 37 CFR 1.17 (q)		1806	180	1806	180	Submission of Information Disclosure Stmt		8021	40	8021	40	Recording each patent assignment per property (times number of properties)	40	1809	750	2809	375	Filing a submission after final rejection (37 CFR § 1.129(a))		1810	750	2810	375	For each additional invention to be examined (37 CFR § 1.129(b))		1801	750	2801	375	Request for Continued Examination (RCE)		1802	900	1802	900	Request for expedited examination of a design application		Other fee (specify) _____						SUBTOTAL (1) (\$ ) 770				*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$ ) 40				SUBMITTED BY						Complete (if applicable)		Name (Print/Type)	James M. Graziano	Registration No. (Attorney/Agent)	28,300	Telephone	303-894-6113			Signature				Date	October 9, 2003		
Large Entity	Small Entity																																																																																																																																																																																																																															
Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid																																																																																																																																																																																																																											
1051	130	2051	65	Surcharge - late filing fee or oath																																																																																																																																																																																																																												
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet																																																																																																																																																																																																																												
1053	130	1053	130	Non-English specification																																																																																																																																																																																																																												
1812	2,520	1812	2,520	For filing a request for reexamination																																																																																																																																																																																																																												
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action																																																																																																																																																																																																																												
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action																																																																																																																																																																																																																												
1251	110	2251	55	Extension for reply within first month																																																																																																																																																																																																																												
1252	410	2252	205	Extension for reply within second month																																																																																																																																																																																																																												
1253	930	2253	465	Extension for reply within third month																																																																																																																																																																																																																												
1254	1,450	2254	725	Extension for reply within fourth month																																																																																																																																																																																																																												
1255	1,970	2255	985	Extension for reply within fifth month																																																																																																																																																																																																																												
1401	320	2401	160	Notice of Appeal																																																																																																																																																																																																																												
1402	320	2402	160	Filing a brief in support of an appeal																																																																																																																																																																																																																												
1403	280	2403	140	Request for oral hearing																																																																																																																																																																																																																												
1451	1,510	1451	1,510	Petition to institute a public use proceeding																																																																																																																																																																																																																												
1452	110	2452	55	Petition to revive – unavoidable																																																																																																																																																																																																																												
1453	1,300	2453	650	Petition to revive – unintentional																																																																																																																																																																																																																												
1501	1,300	2501	650	Utility issue fee (or reissue)																																																																																																																																																																																																																												
1502	470	2502	235	Design issue fee																																																																																																																																																																																																																												
1503	630	2503	315	Plant issue fee																																																																																																																																																																																																																												
1460	130	1460	130	Petitions to the Commissioner																																																																																																																																																																																																																												
1807	50	1807	50	Processing fee under 37 CFR 1.17 (q)																																																																																																																																																																																																																												
1806	180	1806	180	Submission of Information Disclosure Stmt																																																																																																																																																																																																																												
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	40																																																																																																																																																																																																																											
1809	750	2809	375	Filing a submission after final rejection (37 CFR § 1.129(a))																																																																																																																																																																																																																												
1810	750	2810	375	For each additional invention to be examined (37 CFR § 1.129(b))																																																																																																																																																																																																																												
1801	750	2801	375	Request for Continued Examination (RCE)																																																																																																																																																																																																																												
1802	900	1802	900	Request for expedited examination of a design application																																																																																																																																																																																																																												
Other fee (specify) _____																																																																																																																																																																																																																																
SUBTOTAL (1) (\$ ) 770				*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$ ) 40																																																																																																																																																																																																																												
SUBMITTED BY						Complete (if applicable)																																																																																																																																																																																																																										
Name (Print/Type)	James M. Graziano	Registration No. (Attorney/Agent)	28,300	Telephone	303-894-6113																																																																																																																																																																																																																											
Signature				Date	October 9, 2003																																																																																																																																																																																																																											
WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.																																																																																																																																																																																																																																

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing this form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Steven L. Holcombe, *et al.*

Application No.: Not Yet Accorded

Group No.: Not Yet Accorded

Filed: Not Yet Accorded

Examiner: Not Yet Accorded

For: **COMMON POINT AUTHORIZING SYSTEM FOR TRACKING AND  
AUTHENTICATING OBJECTS IN A DISTRIBUTION CHAIN**

**Mail Stop Patent Application**

**Commissioner for Patents**

**P.O. Box 1450**

**Alexandria, VA 22313-1450**

**CERTIFICATE OF EXPRESS MAILING**

"Express Mail" label number EL 977170513 US

Date of Deposit: October 9, 2003

I hereby certify that the attached documents:

1. Utility Patent Application Transmittal;
2. Fee Transmittal Sheet (in duplicate);
3. Signed Combined Declaration and Power of Attorney (3 pages);
4. Patent Application (48 pages including specification, claims and abstract);
5. Informal Drawings (~~20~~ Sheets - figures 1A, 1B, 2-8, ~~1A, 1B~~, 9-19);
6. Recordation Form Cover Sheet (Form USPTO-1595);
7. Signed Assignment (3 pages); and
8. Postcard for return by the United States Patent and Trademark Office

are all being deposited with the United States Postal Service "Express Mail Post Office to Addressee" under 37 C.F.R. section 1.10, on the date indicated above addressed to Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

  
Signature of Person mailing documents

Sharyn J. Hathaway  
Printed Name of person mailing documents